

Maven

COMPLIANCE GENERALIST

Founded in 2011, the Maven organisation engages in proprietary trading & market making activities across global financial markets. Its offices are based near Liverpool St Station in central London and the organisation has over 45 employees. Due to organisational growth and planned future expansion, Maven is now seeking a Compliance Generalist to support the Head of Compliance and Chief Financial Officer.

This is a full-time permanent role based in London and remuneration comprises of an annual base salary of £35,000 to £50,000 depending on experience plus a discretionary bonus. The role is likely to suit somebody who has made a start to their career in compliance & is looking to develop further within a growing organisation.

Role Specifications

- Obtaining & maintaining an excellent understanding the Maven organisation and the products and markets it trades & all applicable laws and regulations in various jurisdictions;
- Assisting with transaction reporting (MiFID & EMIR), trade surveillance & other regulatory filings;
- Assisting with the planning, design and implementation of compliance projects & controls;
- Assisting with compliance monitoring & risk reviews;
- Assisting with training of staff; &
- Reviewing & updating & implementing any changes to compliance policies, practices, structures & procedures as directed by the Head of Compliance or Chief Financial Officer.

Candidate Specifications

- At least 1 years' experience in a junior compliance role in a regulated financial institution, preferably a proprietary trading firm, broker or hedge fund;
- Knowledge of equities, derivatives & trade cycles;
- Diploma /Degree in Finance, Business or Compliance;
- Excellent attention to detail & well-organised; &
- Ability to manage multiple on-going projects and tasks.

To apply for this role, please send your CV and cover letter through to hr@mavensecurities.com.